



# INTRODUCTION TO DSBA ZOOM LIVE WEBINAR CLES

Due to the cancellation of in person, live CLE approved courses, because of restrictions imposed by the Governor's COVID-19 response, the Supreme Court of the State of Delaware Commission on Continuing Legal Education has waived *the requirement that at least 12 of the 24 CLE credit hours must be earned by attending in person live CLE approved courses. The requirement is waived for the two year periods ending December 31, 2020 and December 31, 2021. All 24 CLE credit hours for those two-year periods may be satisfied by approved courses that do not require an in-person, live appearance.*

The Supreme Court of the State of Delaware Commission on Continuing Legal Education cannot accept phone conferencing only. You must attend through a device that allows us to obtain your Bar Id in order to receive CLE credit.

Your attendance will be automatically monitored beginning at the scheduled start time and will be completed when the CLE has ended. If you enter or leave the meeting after or before the scheduled start/end time, you will receive credit only for the time you attended. Your CLE credits will be submitted to the Delaware and Pennsylvania Commissions on CLE, as usual.





# SMALL FIRMS AND SOLO PRACTITIONERS CONFERENCE PART II

## MULTIPLE EMPLOYER PLANS, COMPLAINTS, MALPRACTICE AND ETHICS

**THURSDAY, SEPTEMBER 24, 2020 • 9:00 AM TO 11:30 AM**

DSBA Webinar via Zoom

2.5 hours CLE credit including 1.5 Enhanced Ethics credits  
for Delaware and Pennsylvania Attorneys

Presented by The Small Firms and Solo Practitioners Section  
of the Delaware State Bar Association



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## Program

9:00 a.m. – 10:00 a.m.

### Multiple Employer Plans

Multiple employer plans (“MEPs”) are unique arrangements under which multiple employers join forces to participate in a single retirement plan that promises such benefits as streamlined administration, consolidated investment opportunities, and reduced fiduciary liability for adopting employers. We will explore the underpinnings of MEPs from an Internal Revenue Code (“IRC”) and from an Employee Retirement Income Security Act (“ERISA”) perspective and provide useful information in determining whether a MEP would be an appropriate mechanism by which to establish and operate a qualified retirement plan.

Zachary Golen, AIF  
*Broad Street Financial, LLC*  
Paul M. Mitchell, CFP, CFPS  
*Financial Planner, MassMutual Greater Philadelphia*  
Chuck Rolph, JD, MSFS, CFP, AIF  
*Director, Advanced Consulting Group Nationwide*

10:00 a.m. – 11:30 a.m.

### Complaints, Malpractice and Ethics

Panelists will discuss legal malpractice claims and disciplinary complaints made by dissatisfied clients against their lawyers. Charles Slanina, Esquire of Finger and Slanina, LLC and Kathleen Vavala, Esquire of the Office of Disciplinary Counsel will discuss common client-generated disciplinary complaints and how to avoid and successfully defend them, including mishandling client funds, deficient fee agreements, duties to third parties and fee disputes.

Mike Mooney, Senior Vice President  
*Professional Liability Practice Leader USI Affinity*  
Charles Slanina, Esquire  
*Finger & Slanina, LLC*  
Kathleen M. Vavala, Esquire  
*Office of Disciplinary Counsel*

### RATES:

**\$125.00** for DSBA Members and Staff

**\$225.00** for Non-Members

**\$9.00** for PA Credit

*All rates include the CLE Seminar and Material Download.*

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### OUT OF STATE CLE CREDIT

Those attendees that wish to receive CLE credit, other than from Delaware or Pennsylvania, should contact their individual State's CLE Commission for credit. The Delaware State Bar Association can provide you with the Agenda (included in the Materials sent with your registration confirmation) and a Certificate of Attendance (upon completion of the webinar), which should meet the requirements of compliance.

VISIT [WWW.DSBA.ORG/CLE](http://WWW.DSBA.ORG/CLE) TO REGISTER AND FOR CLE POLICIES